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**PATENT** 

Attorney Docket No.: 469249-00154/A-68970-1/DJB/RMS/DCF

## E UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FAN et al.

Serial No. 09/785,514

Filed: February 16, 2001

For: PARALLEL GENOTYPING OF

MULTIPLE PATIENT SAMPLES

Examiner: Chakrabarti, A. RECEIVED

AUG 2 0 2002

Group Art Unit: 1634

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CERTIFICATE OF MAILING

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Assistant Commissioner for Patents, Washington, D.C. 20231

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Christine P. Peters

## INFORMATION DISCLOSURE STATEMENT AND STATEMENT OF RELATEDNESS

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicant wishes to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO-1449. Copies of the documents are enclosed.

With respect to patent applications, the applicants point out their duty under M.P.E.P. §2001.06(b) to disclose relevant patent applications of which they are aware. To this end, the applicants draw the Examiner's attention to the following patent applications;

- 1. United States Serial Number 08/944,850, filed October 6, 1997 and U.S.S.N. 09/287,573, filed April 6, 1999.
- 2. U.S.S.N. 08/818,199, filed March 14, 1997, now Patent No. 6,023,540; U.S.S.N. 09/151,877, filed September 11, 1998, now Patent No. 6,327,410; U.S.S.N. 09/450,829, filed November 29, 1999, now Patent No. 6,266,459; U.S.S.N. 09/786,896, filed September 10, 1999; U.S.S.N. 09/816,651, filed March 26,

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SERIAL NO: 09/785,514 DATE: February 16, 2001

2001; U.S.S.N. 09/840,012, filed April 20, 2001; U.S.S.N. 09/925,292, filed August 08/2001; and U.S.S.N. 10/005,201, filed December 04, 2001.

- 3. U.S.S.N. 09/189,543, filed November 10, 1998; U.S.S.N. 09/344,526, filed June 24, 1999; U.S.S.N. 09/748,706, filed December 22, 2000; and U.S.S.N. 10/187,321, filed June 28, 2002.
- 4. U.S.S.N. 09/553,993, filed April 20, 2000; U.S.S.N. 09/556,463, filed April 21, 2000; and U.S.S.N. 10/045,575, filed October 26, 2001.
- 5. U.S.S.N. 09/256,943, filed February 24, 1999; U.S.S.N. 09/473,904, filed December 28, 1999; U.S.S.N. 09/606,369, filed June 28, 2000; and U.S.S.N. 10/194,912, filed June 12, 2002.
- 6. U.S.S.N. 09/513,362, filed February 25, 2000.
- 7. U.S.S.N. 09/517,945, filed April 3, 2000, now Patent No. 6,355,431, and U.S.S.N. 10/021,906, filed December 12, 2001.
- 8. U.S.S.N. 09/425,633, filed October 22, 1999 and 09/535,854, filed March 27, 2000.

Further, in accordance with the provisions of 37 C.F.R. §§ 1.97(c) and 1.97(e)(1), the undersigned certifies that references 18 and 42 listed on the enclosed form PTO-1449 were cited in the International Search Report dated May 29, 2002, for a counterpart PCT application.

References listed on the International Search Report and not enclosed herewith were submitted with a previous Information Disclosure Statement. A copy of the International Search Report for the counterpart PCT application is enclosed herewith.

None of the foregoing references are believed to disclose the invention as claimed.

Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

SERIAL NO: 09/785,514 DATE: February 16, 2001

This Information Disclosure Statement is being submitted before the date of a Final

Office Action. The Assistant Commissioner is hereby authorized to charge any additional fees

which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order

No. 469249-00154/A-68970-1/DJB/RMS/DCF).

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: 8 / 14 /

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David C. Foster (Reg. No. 44,685)

Attorneys for Applicants

Filed under 37 C.F.R. § 1.34(a)

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	30	Office' WO	97/46704	A1	Lynx Therapeutics Inc.	12/1997		+
	31	wo	98/04746	Al	Mount Sinai School of Medicine of the City University of New York	02/1998		
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Date Considered

FEXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the seril number of the patent document. 3 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 4 Applicant is to place a check mark here if English Language Translation is attached.

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## Complete if Known Substitute for form 1449A/PTO 09/785,514 **Application Number** Filing Date February 16, 2001 INFORMATION DISCLOSURE First Named Inventor FAN et al. STATEMENT BY APPLICANT 1634 Group Art Unit Chakrabarti, A. **Examiner Name** (use as many sheets as necessary) A-68970-1/DJB/RMS/DCF of **Attorney Docket** Sheet Number

				U.S. PATENT DOCU	MENTS	
Examiner InitiAs	Cite No.1	U.S. Patent D	ocument	Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns, Lines, Where Relevant
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	, ,	Office'	Kind Co Number <sup>4</sup> (if know		of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	17"
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on designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter dard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if to place a check mark here if English Language Translation is attached.

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Substitute for form 1449B/PTO	Complete if Known		
Substitute for form 1447D/1 10	Application Number	09/785,514	
INFORMATION DISCLOSURE	Filing Date	February 16, 2001	
INFORMATION DISCLOSURE	First Named Inventor	FAN et al.	
STATEMENT BY APPLICANT	Group Art Unit	1634	
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Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the firm (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T?
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>14</sup> Unique citation designation number. 2 Applicant is to place a check mark here if English Language Translation is attached.